

DECLARATION NON-PROVISIONAL PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

### CHIMERIC G PROTEIN COUPLED RECEPTORS

and for which a patent application:

□ is attached hereto and includes amendment(s) filed on (if applicable)

was filed in the United States on July 11, 2001 as Application No. 09/904,099 (for declaration not accompanying application) with amendment(s) filed on (if applicable)

□ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICA	TION(S), IF ANY, FILED PRIC	OR TO THE FILING DATE (	OF THE APPLICATION	
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			YES - NO -	
			YES - NO -	
			YES - NO -	

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL	FILING DATE	STATUS		
APPLICATION SERIAL NO.		PATENTED	PENDING	ABANDONED
			" "	
			-	

<sup>\*</sup> for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

$\vdash$	-	LAST NAME	FIRST NAME	MIDDLE NAME	
	FULL NAME OF INVENTOR	Shankar	Geetha		
2 0 1	RESIDENCE & CITIZENSHIP	стту Menlo Park	STATE OR FOREIGN COUNTRY  California	COUNTRY OF CITIZENSH India	IP
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		SIGNATURE OF INVENTOR 201	, ≥ .	10/5/01	
	FULL NAME OF INVENTOR	LAST NAME Munning	FIRST NAME Jason	MIDDLE NAME N.	
0 2	RESIDENCE & CITIZENSHIP	спү San Francisco	STATE OR FOREIGN COUNTRY  California	COUNTRY OF CITIZENSH U.S.A.	IP.
	POST OFFICE ADDRESS	STREET 219A Dolores Street	San Francisco	STATE OR COUNTRY  California	21P CODE 94103
		SIGNATURE OF INVENTOR 202  June Municipal Muni	$\stackrel{\cdot}{\Longrightarrow}$	10/5/01	
	FULL NAME OF INVENTOR	LAST NAME Spencer	FIRST NAME Juliet	MIDDLE NAME V.	
2 0 3	RESIDENCE & CITIZENSHIP	Foster City	STATE OR FOREIGN COUNTRY  California	COUNTRY OF CITIZENSH	P
	POST OFFICE ADDRESS	736 Bounty Drive, #3618	CITY Foster City	STATE OR COUNTRY  California	ZIP CODE 94404
		SIGNATURE OF INVENTOR 203  Juliet Y. Apena	cel	10-5-01	

Express Mail No.: EL 452 481 520 US

# RECORDATION FORM COVER SHEET

## **PATENTS ONLY**

Attorney Docket Number \_10602-013-999

# TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS Box Assignment Washington, DC 20231

Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Geetha Shankar, Jason N. Munning, and Juliet V. Ceretek Spencer Address: 1501 Harbor Bay Parkway, Suite 200 Additional name(s) of conveying party(ies) attached? ☐ Yes 図 No 3. Nature of conveyance: Alameda, CA 94502 □ Merger ■ Assignment Country (if other than USA): □ Change of Name □ Security Agreement □ Other \_\_ Execution Date: October 5, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s)\_ A. Patent Application No.(s) <u>09/904,099</u> Additional numbers attached? □ Yes No 5. Name and address of party to whom correspondence 6. Number of applications concerning document should be mailed: and patents involved: 1 PENNIE & EDMONDS LLP 7. Total fee (37 CFR 3.41):....\$ 40.00 3300 Hillview Avenue Please charge to the deposit account listed in Section 8. Palo Alto, CA 94304 Deposit account number: 16-1150 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Rahul Pathak Signature Date 32,797 for Thomas D. Kohler Reg. No. Name of Person Signing Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

### ASSIGNMENT

WHEREAS, WE, Geetha Shankar, Jason N. Munning, and Juliet V. Spencer, ASSIGNORS, citizens of India, the United States and the United States, respectively, residing at 395 Santa Monica Avenue, Menlo Park, California 94025, 219A Dolores Street, San Francisco, California 94103, and 736 Bounty Drive, #3618, Foster City, California 94404, respectively, are the inventors of the invention in CHIMERIC G PROTEIN COUPLED RECEPTORS for which we have executed an application for a Patent of the United States

- which is identified by Pennie & Edmonds LLP docket no. 10602-013-999
- which was filed on July 11, 2001, Application No. 09/904,099

and WHEREAS, Ceretek, 1501 Harbor Bay Parkway, Suite 200, Alameda, California 94502, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date	10/5/	, 2001	geethathand	L.S.
State of	CALIFORNIA ALAMEDA	<b>d</b> ) ss :		
County o	ALAMEDA	) 33		_
	on October		e me, "JOHN Y. PARK, NOTAL	Y PUBLIC", Notary Public,
			_, personally known to me on the basis	
person	whose name(x) i	s <del>sub</del> scribed to the wi	hin instrument and acknowledged to me t	hat <b>he</b> she/hey executed the same
in his/her	t <del>heir au</del> thorized	capacity( <del>ics),</del> and that	by his her their signature ( on the instrum	ent the person(A), or the entity upon
		n(A) acted, executed th		•
		•	& COLINI V. DADV.	സംഘട്ട

WITNESS my hand and official seal

Loku J. Park

Date 10/5 , 2001 JASON N. MUNNING L.S.
State of CALIFORNIA SS.:  County of ALAMEDA SS.:  On Clobo 5, 2001, before me,
WITNESS my hand and official seal  WITNESS my hand and official seal  JOHN Y. PARK  COMM. # 1224080  NOTARY PUBLIC - CALIFORNIA  ALAMEDA COUNTY  My Comm. Expires July 9, 2003
Date 10-5 , 2001 JULIET V. SPENCER L.S.
State of CALIFORNIASS.:  County of ALAMEDA)  On Color 5, 2001, before me,
in hts/her/their authorized capacity (ies), and that by his/her/their signature (s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.